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PTO/SB/05 (1/98)

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UTILITY PATENT APPLICATION TRANSMITTAL

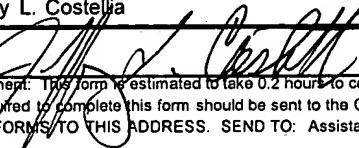
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0756-1936

First Inventor or Application Identifier: Shunpei YAMAZAKI et al.

Title: SEMICONDUCTOR DEVICE AND METHOD FOR FORMING
THE SAME

Express Mail Label No. 

APPLICATION ELEMENTS		ADDRESS TO:
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>		6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		7. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) 4. <input checked="" type="checkbox"/> Oath or Declaration		8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
Total Pages [58]		10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
Total Sheets [16]		11. <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of IDS <i>(IDS)/PTO-1449</i>
Total Pages [2]		12. <input checked="" type="checkbox"/> Preliminary Amendment
a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copies of original and substitute from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> [Note Box 5 below]		13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		14. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) Status still proper and desired <i>(PTO/SB/09-12)</i>
5. <input checked="" type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
		16. <input checked="" type="checkbox"/> Other: Notice of Change of Address
<p>*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</p>		
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Divisional of prior application Serial No. 08/757,616 filed November 29, 1996; which itself is a continuation of application Serial No. 08/085,931, filed July 6, 1993, which is a continuation-in-part of Serial No. 07/853,690, filed March 17, 1992, now U.S. Patent No. 5,313,076.		
Prior application information: Examiner: R. Booth		Group/Art Unit: 2812
18. CORRESPONDENCE ADDRESS		
<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)		
Name: Jeffrey L. Costellia Firm: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C. Address: 8180 Greensboro Drive, Suite 800 City: McLean State: VA Zip Code: 22102 Country: U.S.A. Telephone (703) 790-9110 FAX (703) 883-0370		
Name: Jeffrey L. Costellia		Registration No. 32,815
Signature 		Date: February 23, 1999

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FEE TRANSMITTAL		Complete If Known																															
<small>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</small>		Application Number Filing Date February 23, 1999 First Named Inventor Shunpei YAMAZAKI et al. Examiner Name R. Booth Group Art Unit 2812																															
TOTAL AMOUNT OF PAYMENT \$1,228.00		Attorney Docket Number 0756-1936																															
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued) 3. ADDITIONAL FEES																													
				Large Entity		Small Entity																											
1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																								
[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 [] Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance				105	130	205	65	Surcharge-late filing fee or oath																									
				127	50	227	25	Surcharge-late provisional filing fee or cover sheet																									
2. [X] Payment Enclosed: [X] Check [] Money Order [] Other				139	130	139	130	Non-English specification																									
				147	2,520	147	2,520	For filing a request for reexamination																									
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action																									
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																									
				115	110	215	55	Ext for reply within first month																									
				116	380	216	190	Ext for reply within second mth																									
				117	870	217	435	Ext for reply within third mth																									
				118	1,360	218	680	Ext for reply within fourth mth																									
				128	1,850	228	925	Ext for reply within fifth month																									
				119	300	219	150	Notice of Appeal																									
				120	300	220	150	Filing brief in support of appeal																									
				121	260	221	130	Request for Oral Hearing																									
				138	1,510	138	1,510	Petition to institute public use proceeding																									
FEE CALCULATION				140	110	240	55	Petition to revive-unavoidable																									
1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> <tr> <th>Fee Code (\$)</th> <th>Fee Code (\$)</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>760</td> <td>201</td> <td>380</td> </tr> <tr> <td>106</td> <td>310</td> <td>206</td> <td>155</td> </tr> <tr> <td>107</td> <td>480</td> <td>207</td> <td>240</td> </tr> <tr> <td>108</td> <td>760</td> <td>208</td> <td>380</td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	101	760	201	380	106	310	206	155	107	480	207	240	108	760	208	380	114	150	214	75	141	1,210	241	605	Petition to revive-unintentional	
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108	760	208	380																														
114	150	214	75																														
				142	1,210	242	605	Utility issue fee (or reissue)																									
				143	430	243	215	Design issue fee																									
				144	580	244	290	Plant issue fee																									
				122	130	122	130	Petitions to the Commissioner																									
				123	50	123	50	Petitions related to provisional applications																									
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from Below</th> <th>Fee Paid</th> </tr> <tr> <th>Independent Claims</th> <th>33 - 20** =</th> <th>13 X \$18.00 =</th> <th>\$234.00</th> </tr> <tr> <th>Multiple Dependent Claims</th> <th>6 - 3** =</th> <th>3 X \$78.00 =</th> <th>\$234.00</th> </tr> </thead> </table>				Total Claims	Extra Claims	Fee from Below	Fee Paid	Independent Claims	33 - 20** =	13 X \$18.00 =	\$234.00	Multiple Dependent Claims	6 - 3** =	3 X \$78.00 =	\$234.00	126	240	126	240	Submission of IDS													
Total Claims	Extra Claims	Fee from Below	Fee Paid																														
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Multiple Dependent Claims	6 - 3** =	3 X \$78.00 =	\$234.00																														
				581	40	581	40	Recording each patent assignment per property (times number of properties)																									
				146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))																									
				149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))																									
								Other _____																									
								Other _____																									
								*Reduced by Basic Filing Fee Paid																									
SUBTOTAL (1) \$760.00								SUBTOTAL (3) \$																									
SUBTOTAL (2) \$468.00																																	
SUBMITTED BY								Complete (if applicable)																									
Typed or Printed Name	Jeffrey L. Costellia							Reg. Number	35,483																								
Signature				Date	February 23, 1999		Deposit Account User ID	19-2380																									

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